

## Definitions of Data Fields in CUSIP Request Forms

### Contact Information

**Primary Email Address:** CUSIP identifier(s) confirmation will be sent to this email address; this email address is mandatory.

**Secondary Email Address:** CUSIP identifier(s) confirmation will be sent to this email address; this email address is optional.

### Issuer Information

**Issuer Legal Description:** Legal issuer name based on offering document.

**Obligor:** Corporation or entity that is the source of interest and principal payments on a debt security, not always the issuer. For example, the guarantor of a corporate bond or conduit in a municipal industrial development bond.

**Co-obligor:** An additional corporation or entity along with the obligor that is the source of interest and principal payments on a debt security.

**State of Incorporation:** State where the issuer is incorporated.

### Issue Information

**Alt Min Tax:** Indicate if issue pays interest that is subject to the alternative minimum tax (AMT) for private activity bonds.

**Annuity Type:** Select type of annuity (i.e. - Variable, Fixed or Indexed).

**Bank Qualified:** Indicate if financial institutions may purchase and deduct a portion of the cost of carrying securities that are designated 'bank-qualified'.

**Bond Counsel:** Law firm representing the issuer.

**Bond Form:** Select the form (bearer or registered) in which the security will be delivered.

**Callable:** Indicate if the issue has a provision that allows the issuer to redeem the security before maturity.

**Currency:** Select the currency for the security coming to market.

**Dated Date:** Issue Date; the date interest starts accruing on the security.

**Depository Eligible:** Indicate if the security will be held at DTCC, Euroclear, Clearstream etc.

**Enhancements:** Select additional backing or source of funds.

**Financial Advisor:** Firm that researches municipal bond issues and advises potential purchasers/underwriters.

**First Coupon Date:** First interest payment date.

**Fund Form:** Select load option.

**Fund Investment Policy:** Select the fund's investment policy, as noted in the offering prospectus.

**Fund Type:** Select Closed End or Open End.

**Guarantees:** Select additional guarantee in the event of the issuer's insolvency.

**Insurance Type:** Select type of policy (i.e. Whole Life, Universal Life, etc.).

**Interest Payment Frequency:** Select frequency of payments: monthly, quarterly, semi-annual, annual.

**Issue Description:** Security description based on offering document.

Continued >

**Maturity Dates:** The date on which the principal amount becomes due and payable to the security holder.

**Ownership Restrictions:** Select if the issue ownership is free or restricted.

**Paying Agent:** Entity appointed by the issuer to handle the disbursement of funds.

**Puttable Select:** Mandatory - A put on a bond that will occur if the bondholder does not take a specific action.  
Optional - A put feature that is exercised at the discretion of the bondholder.

**Pre-refunded:** Indicate bonds that have been refunded in advance with a specific call date.

**Preferred Type:** Select type of preferred/preference share: redeemable, exchangeable, etc.

**Rate Type:** Select type of interest for a debt security.

**Redemption Options:** Indicate type of redemption.

**Remarketed:** Indicate if the issue has been re-offered at a reset interest rate.

**Sale Type:** Select method of proposals for the purchase of a new municipal offering.

**Secondarily Insured:** Select secondary insurer.

**Sinking Fund:** Indicate if the issue has a sinking fund option.

**Source Document:** Select the document that is being submitted with this request.

**Taxable:** Indicate if interest on issue is not exempt from federal income tax.

**Transfer Agent:** Entity responsible for handling proxy solicitations, etc. for a Corporate Action.

**Underwriter:** Lead Manager

**Warrant Expiration Date:** Date the warrant or right expires.